

**BR FIDUCIARY INVESTMENT ADVISORY LLP**

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CIN: ACE-3270 | PAN: ABCFB2233K | GSTIN: 24ABCFB2233K1ZT

**Action Taken Report (ATR)**

Name of Investment Adviser:	BR FIDUCIARY INVESTMENT ADVISORY LLP
SEBI Registration No.:	INA000019433
BSE Enlistment No.:	2195
Entity Type:	IA
Financial Year:	2024-2025

Sr. No	Regulation	Particulars	Compliance Status	Reason for non-compliance	Auditor Comments Accepted (Yes/No)	Action taken on adverse findings
1	Regulation 8 And SEBI circular Ref no. SEBI/HO/MIRSD/MIRSD- PoD1/P/CIR/2025/003 dated January 08, 2024 point 2(ii)	Deposit requirement post 15th December 2024 Compliance to deposit requirement post 15th December 2024 as below basis the no. of clients: No. of clients                      Deposit Up to 150 clients                      1 Lakh 151 to 300 clients                      2 lakhs 301 to 1000 clients                      5 lakhs 1001 and above clients              10 Lakhs	Not-Complied	We have already applied for FDR and currently it is under process with the bank we will intimate you once available with us	Yes	We have already applied for FD currently it is under process with bank we will intimate you once a with us
2	SEBI Master circular Ref. No. SEBI/HO/MIRSD/MIRSD-PoD- 1/P/CIR/2024/50 dated May 21, 2024 - VI(21.2)	Other reporting requirements  Whether Undertaking on compliance of the advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions to be submitted half yearly	Not-Complied	We have not availed any SaaS based solutions services in FY 202425 However as a corrective measure we have submitted the same to BSE for half year ended Sept 2024 and March 2025	Yes	We have not availed any SaaS based solutions services in FY 202425 However as a corrective measure we have submitted the same to BSE for half year ended Sept 2024 and March 2025

Signature of IA / Partner / Director:

Date and Place: 30/10/2025 Vadodara